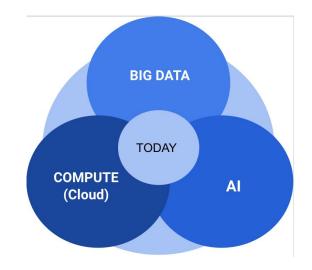
# Data & Al

Two words in one breath

# Why now?

Software eats the world & Al eats Software ....



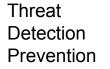
We are witnessing massive explosions of <u>data</u> - IoT, Genomics & across every industry vertical

Rise of Cloud made JIT <u>compute</u> accessible to one & all - & gets more powerful - Moore's law

GenAl catapulted Al & democratized it to every organization - big & small - at different levels of maturity - trend towards Point of Singularity

## Data drives business use cases in every industry







Health and Life Sciences



**Autonomous Vehicles** 



**Connected Factory** 



Personalizations









Gaming/Entertainment

**Smart Farming** 

Banking

Forecasting

# Homage to SciFi Al



















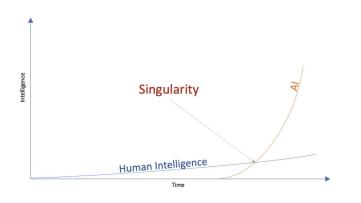


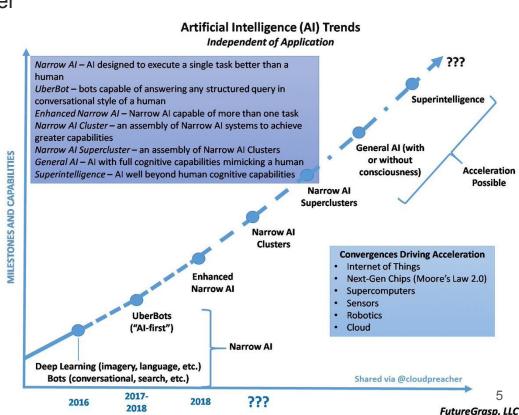
## **Evolution into Point of Singularity**

The point where AI can improve itself faster than humans can

Narrow Vs Global Intelligence

Al can pass the Turing test without GAI





#### Poll

- How many have used chatGPT
- How may use chatGPT at least 3 times a day
- How many are working on Gen Al projects
- How many are using Gen Al products

# Agenda

- Data-centric ML
- ABC of Generative AI
- Role of LLMs in modern data & Al landscape
- Fit for purpose of LLMs how to pick the right one for your needs?
- Deep dive into the 'RAG' Architecture
- LLM Ops
- Legal & Ethical considerations

#### Introductions

Lead Solutions Architect – Databricks "If Data has arrived, it better be served!"

- Past Experience in Big Data
  - Teradata/Think Big Analytics
  - Nokia/Microsoft
- MS in Computer Science Boston University
- Master of Liberal Arts & Management Harvard Extension
- I teach a graduate course on Data Engineering (CSCI E-103) at the Harvard Extension School.
- I've authored the book "Simplifying Data Engineering and Analytics with Delta: Create analytics-ready data that fuels artificial intelligence and business intelligence"



Anindita Mahapatra

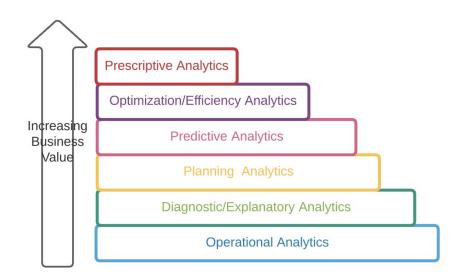


ISBN-13: 978-1801814867 ISBN-10: 1801814864

Data-Centric ML

## Data -> Analytics

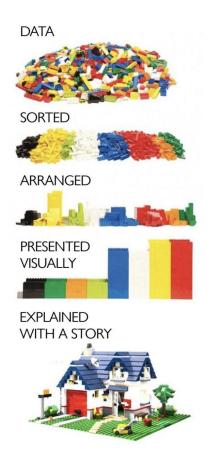
From a LinkedIn post: "The Difference between Raw Data and the Stories Data can tell."



The analogy used is that of cutting carbon to create a diamond.

Raw data is the carbon that gets increasingly refined.

The longer the processing layers, the more refined and curated is the value of However it is more time consuming and expensive to produce the artifact



# Hardest Part of ML isn't ML, it's everything else

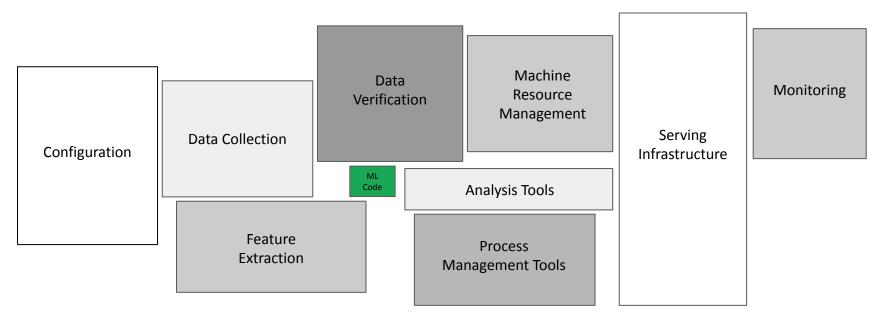
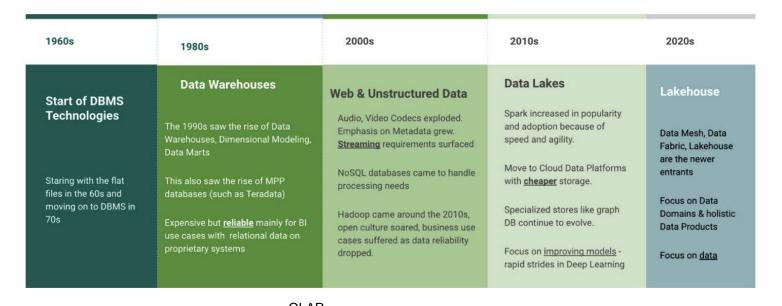
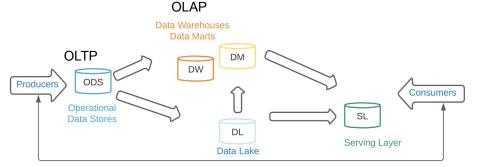


Figure 1: Only a small fraction of real-world ML systems is composed of the ML code, as shown by the small green box in the middle. The required surrounding infrastructure is vast and complex.

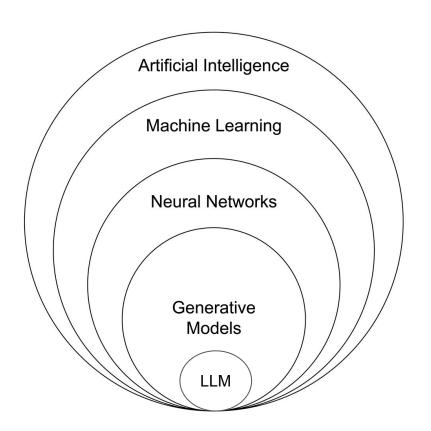
#### **Evolution of Data Platforms**





Different Consumers tap into the data at different stages

#### **Evolution of Al**



- Rule Based Systems
- Classical ML
- Deep Learning (<u>unstructured data</u>)
- Gen Al
- LLM(language), GAN(image)

While Traditional AI aims to perform specific tasks based on predefined rules and patterns, Generative AI goes beyond this limitation and strives to **create** entirely new data that resembles human-created content

# **ABC** of Generative AI

Is it a threat or an opportunity for my business
Can it be used for gaining a competitive advantage
How can I use data securely with GenAI

# Why are LLMs so powerful?

LLMs are very capable because they are trained on massive amounts of data – giving them a grasp of how language works <u>and</u> a significant amount of knowledge

Training data such as "all text on the internet"

#### LLMs excel at language related tasks, such as:

- Answering questions or chatting
- Summarizing longer form content
- Writing computer code such as writing SQL or HTML or Java
- Generating content such as marketing copy
- Translation

There are 1000s of different LLMs, each with different skills & capabilities

GPT family (e.g. ChatGPT), BERT, T5, BLOOM

# ML/AI has been around for a while - why should I care now?

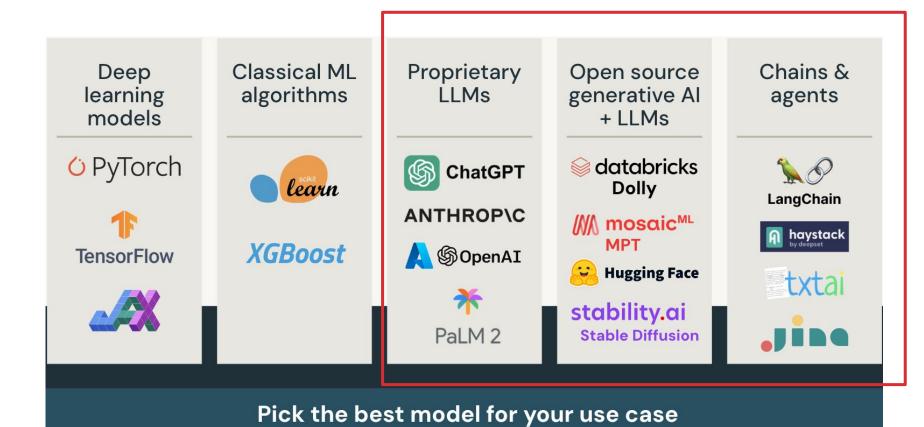
#### LLM accuracy and effectiveness has hit a tipping point

- Powerful enough to enables use cases not feasible even a year ago
- Yet economical enough to access and use even by non-technical business users

#### LLMs and tooling are readily available

- Many LLMs are open source and customizable
- Requires powerful GPUs, but are available in the cloud

# LLMs are an addition to the existing ML arsenal



# Gen Al Terminology (I)

- **LLM** Large Language Model (NLP and beyond)
- **GAN** Generative Adversarial Network (images)
- **Diffusion** simulate the dynamics of complex systems over time (Lip sync)
- **Foundational LLM** a pre-trained lang model that is the starting point for more specific models
- **Hallucination** a confident response by an AI that it has not been trained on (Temperature)
- **Grounding** process of associating words with their real-world entities and concepts.
- **Prompt Engineering** process of designing effective NL prompts for use with LLMs
- **Zero-shot Learning**: An input text + prompt that describes the expected output from the model
- **Few-shot Learning**: Zero-shot + few examples of in/out

# Gen AI Terminology (I)

- **Chain of Thought**: improves the reasoning ability of LLMs by prompting them to generate a series of intermediate steps that lead to the final answer of a multi-step problem.
- Modality Multiple types of data text, image, audio, video
- **Transformers**: NN arch for NLP Encoder, Decoder, Embedding(transform from high dim to lower)
- **Tuning**: Instruct/Fine
- **RLHF** Reinforcement Learning with the Human Feedback

# Examples Ready for democratization

LLMs generate output for NLP tasks Code Generation & Developer Productivity

## Gen AI examples

Synthetic yet realistic content generation

#### Image generation

- Generate realistic/artistic high-quality images
- Virtual agent generation



#### **Video Synthesis**

- Animation
- Scene generation



#### 3D Generation

- Object, character generation
- Animations

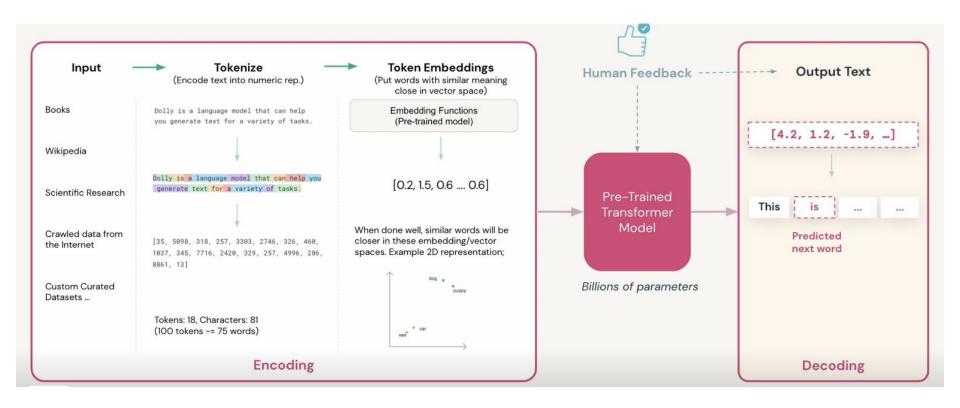


#### **Audio Generation**

- Narration
- Music composition

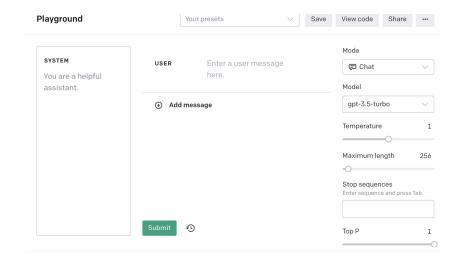


#### How are LLMs trained

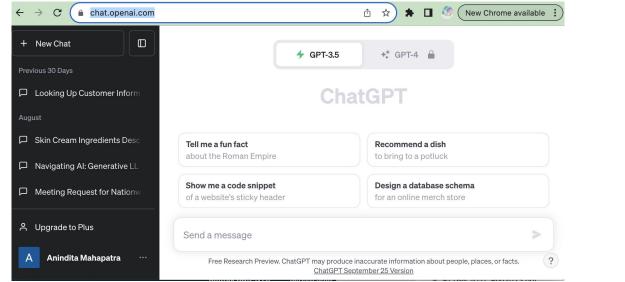


# Open Al

# Playground ChatGPT



Whisper: Audio -> Text



# DALL-E (Text to Image)

https://openai.com/dall-e-2

Phoenix DAMA chapter attending a talk on GenAl









Madame Curie in her lab

















albert einstein in a black hole

## A Digital Human to answer Qs from this session

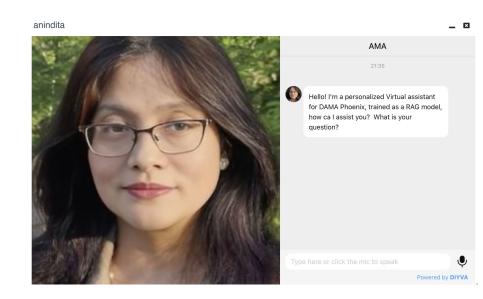
#### Anindita's Digital Avatar

RAG model for Q/A

Text & Voice

Translation

Transcription



# Metaverse - 3D Experience Integrate physical & digital realities

Virtual Augmented Reality beyond video calls!

Mixed Reality, Mixed hangouts with holograms

Emotions before words, Multi-thread humans

You can be anywhere With anyone, breaks geographic barriers not just games, social, work!

Scans, Collect expressions (headsets)

Mark Zuckerberg: First Interview in the Metaverse | Lex Fridman Podcast #398





# Role of LLMs in Modern Data/Al Landscape

## Some Examples of How do LLMs enhance use cases

Data Q&A: democratize access to knowledge Simplify structured insights about unstructured data

Improve efficiency of knowledge worker's basic tasks Improve existing machine learning models

Enable call center staff to ask questions of all previous support tickets

Let users ask which Delta table best meets their analysis needs What are the 5 top issues based on the call center transcripts this week

Which customer reviews mentioned an issue with defects? Has that spiked in the last 2 weeks

Ask a data question, get a draft SQL query

Describe a landing page, get draft HTML code

Automated personalized marketing messages Include customer forum posts in our fraud models

Tune our product recommendation model based on customer's written feedback

# Typical LLM Use Cases

Chatbot for Q/A, Smart Search, Assistant	Content Generation		
Q/A from complicated policy docs	Summarize policies, reports, technical documents		
Aid the analyst by looking up info	Generate reports, decks		
Debugging/Coding	Human-readable explanations of difficult-to-parse commands		
Classification	<u>Automation</u>		
Basic triaging	Translation & Response automation		
Social Engineering Detection	Pattern finding		
Sentiment Analysis	Automate mundane tasks via prompt Engineering		

# Fit for Purpose

# Why would you investing in your own Gen Al models?

Increase Regulation Business Inference **Economics** Accuracy and Privacy Advantage Train Smarter, Domain-specific, Data & Model Data is **Your Moat Smaller Models Proprietary Data** Ownership

#### First cut

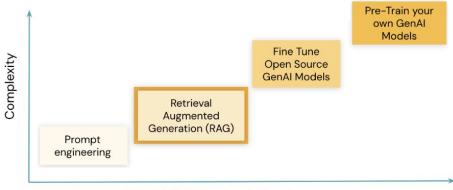
#### Model & deployment type

- Open source models (as is/tune, commercial/non)
  - o Pros
    - Data/model stays within your control, fine-tuning, faster inferencing
    - Quality is rapidly improving
  - Cons
    - Larger modes/datasets, in-house expertise
  - Llama2 (FB), MPT(Mosaic ML), Dolly(Databricks)
- Proprietary model
  - LLM-as-a-service, May be fine-tuned usually hosted elsewhere, you send the data
  - Pros
    - Faster development, better quality on routine tasks
  - Cons
    - Pay per request, Data privacy concerns, vendor lock-in
  - Eg. OpeAI, Anthropic

#### Pre-train Vs Fine-tune

- Pre-train produces foundational LLMs
  - Trained on very large corpus of data
- Fine tuning is used for domain adaptation of a base foundation model
  - To learn a new task

# **LLM Progression**



Time / Organizational Maturity

LLM Type	Word Volume	Quality	Cost	Latency	Privacy
Prompt Eng	(No domain data)			No control	
RAG	100s of K				
Fine Tune	Millions/Billions				
Pre-Train	Billions/Trillions			Max control	Most secure

# Challenges implementing LLMs

- Need to move quickly
  - Your competitors are also jumping into LLMs, and you need ensure you aren't left behind your peers—how to quickly tackle high value use cases?
- Need to customize, control, and secure your LLMs
  - Using proprietary SaaS LLMs requires you to send your data to 3Ps and may leave you without a competitive edge. How to customize LLMs that you own & control with your proprietary data?
- Need to connect LLMs with your existing data
  - Just like other forms of machine learning, LLMs require a tight coupling with your existing data strategy—how to best connect LLMs with all your existing data sources?

#### **Known Limitations of LLMs**

- Hallucination (can be controlled by temperature, prompt)
- Bias (limited or biased training data)
- Adversarial Tokens (inaccurate tokens fed to cause malfunction)
- Malicious content authoring and social engineering
- Train an LLM for Malicious Reward Hacking or train an LLM for Malicious Reward Hacking – LLMs have the possibility of finding loopholes in real world systems, but rather than fix them, might end up exploiting them.

## Path to LLM Implementation

Use Case Prioritization

#### What this will look like

- 2 hour in-person or virtual session
- Interactive discussion to understand business needs and scope

#### What we'll need from you

- Participation from relevant technical and strategy/roadmap owners
- Prepared list of use cases to sort & rank
- Generic idea of business impact and feasibility/data availability

Select the optimal use case to prove business value and serve as a template for future projects

2

Use Case Deep Dive

#### What this will look like

- 2 hour in-person or virtual session
- Interactive discussion to understand the business problem and scope impact

#### What we'll need from you

- Participation from relevant technical and business teams/stakeholders
- Current state overview and process description

Understand how a technical solution will satisfy the core business needs at hand

3

Solution & Architecture Design

#### What this will look like

- 2-3 hour in-person or virtual session
- Interactive diagramming and documentation to create future state infrastructure

#### What we'll need from you

- Participation from relevant technical and business teams/stakeholders
- Current state process description and documentation of all impacted systems

Generate a blueprint for immediate implementation of a beta-version of the technical solution

#### Use Case Selection Criteria **Value** High **Lighthouse Use Case** Data accessibility and quality **Desired Outcomes** ☐ Ease of implementation/adoption ☐ Reusability to other problems Learning ■ Expertise of Al org Testing Templating Low $\star$ Piloting Risk High Low Low Creating excitement

**Educating employees** 

**Feasibility** 

RAG Architecture Deep Dive

## Gen Al Terminology (II)

- Memory
- Index
- Vector Search
- Chains
- Agents

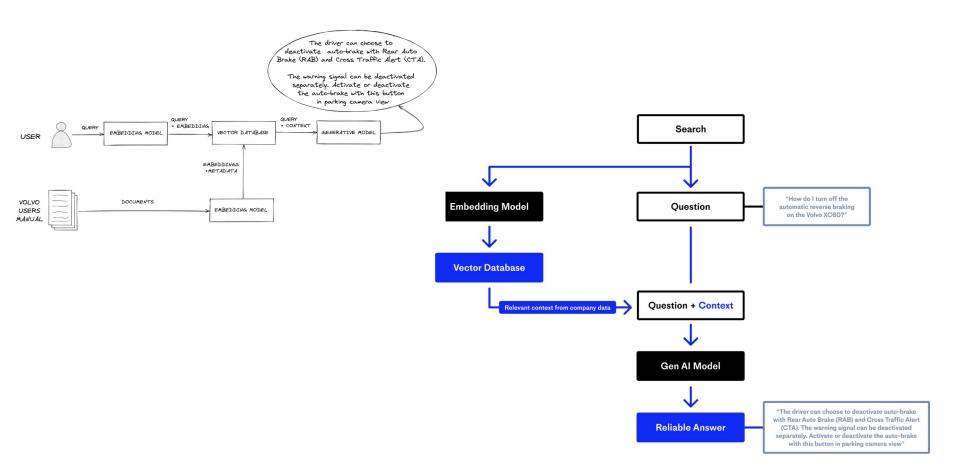
## RAG - Retrieval Augmented Generation

#### RAG is a potential solution for LLM limitations

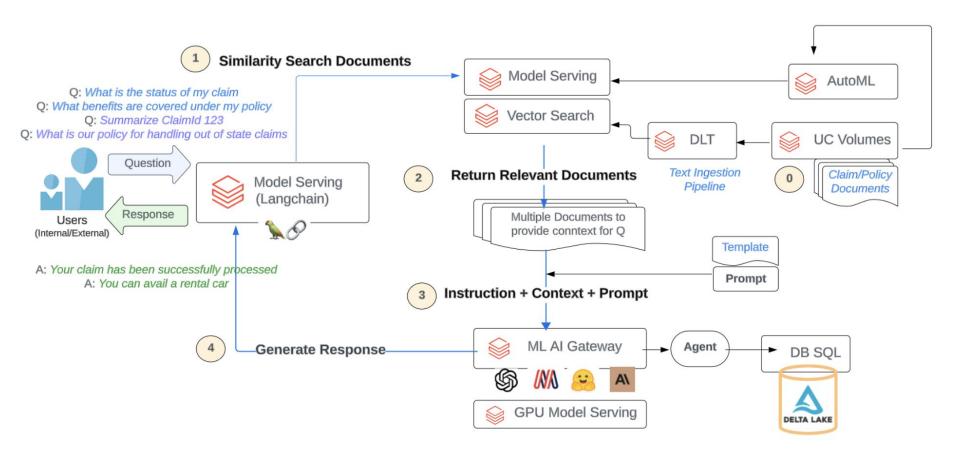
- LLMs are "<u>stuck</u>" at a particular time (of training) It is not feasible to update their gigantic training datasets - but RAG can bring them into the present.
- LLMs are trained for generalized tasks, meaning they do not know your company's private data.
- It's not easy to understand <u>which sources</u> an LLM was considering when they arrived at their conclusions.
- Few organizations have the <u>financial and human resources</u> to produce and deploy foundation models.

RAG is one of the most cost-effective, easy to implement, and lowest-risk path to higher performance for GenAl applications.

### Reference Architecture for RAG



## Transform documents into a Knowledge Engine for Q&A

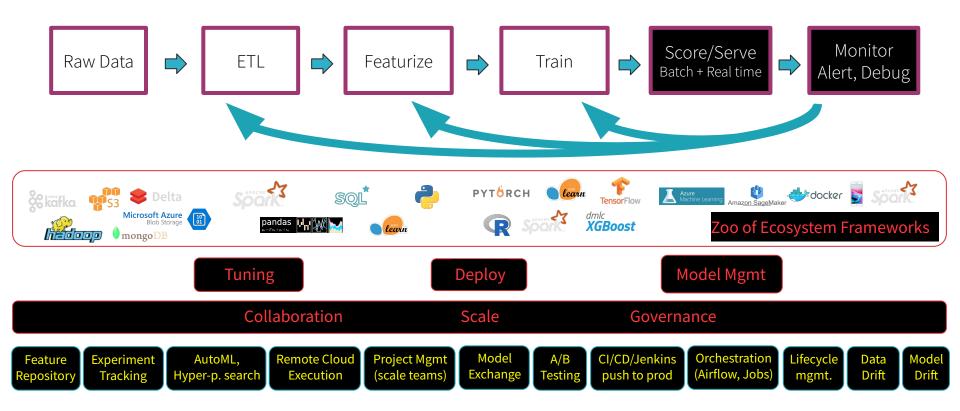


# LLM Ops

## Improving LLM

- Accuracy of responses
- Latency of response
- Prevent Hallucination
- Continue training for newer more relevant information
- Combine structured and unstructured data

# ML Lifecycle and Challenges



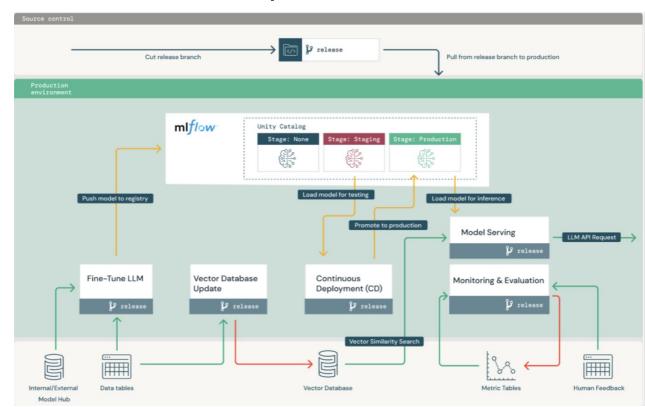
## DataOps + MLOps + GenAl = LLMOps

## LLM Operations for end-to-end production

- Databricks unifies LLMOps with traditional MLOps & DevOps
- Teams need to learn mental model of how LLMs coexist with traditional ML in operations

#### Differences to MLOps

- Internal/External Model Hub
- Fine-Tuned LLM
- Vector Database
- Model Serving
- Human Feedback in Monitoring & Evaluation



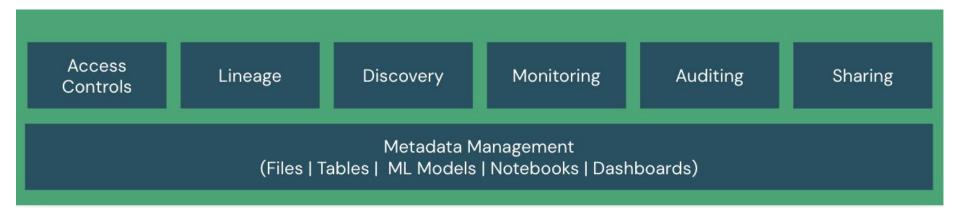
Legal & Ethical Considerations

The Imperative of Insuring LLMS

Governance

#### Governance

- All Data
  - structured/semi/Un-structured
- All Data Assets
  - Model
  - Dashboard
  - Services & Products



## The usual suspects & more ...

- Potential for biased predictions
  - Gender, age, ethnicity
- Risk of misuse (more Black box)
  - Explainability is imp
  - Generation of harmful content (toxicity)
  - Hallucination
- Breaches of Privacy
  - Regulatory/Compliance
  - o IP
  - o GDPR

Laws can be enforced but not Ethics

As a society, we have a collective moral responsibility

Focus on <u>ESG score</u> of a company- (Env/Social/Governance) By incentivizing organizations to prioritize fairness, transparency, privacy, and accountability, policies contribute to building ethical LLMs that benefit society as a whole.

Discrimination, exclusion, and toxicity

Information hazards

Misinformation harms

Malicious uses

Human-computer interaction harms

Automation, access and environmental harms

## Potential Safety Nets & Band-aids via iterative process

- Collect Interaction details
- Model Monitoring (Output/Results)
  - Automatic ML Scoring
  - RLHF
- Guardrail Models
  - To vet training data & possibly responses from ML
- Careful Prompt Design
  - Explicit instructions to be factual
  - Set temperature to be 0
- <u>EU AI</u> Act Regulated by Disclosure of Data, Compute, Model, Deployment

## **Key Takeaways**

- Every organization will be a Data & Al company in the future
- 'Your Data' & 'Your Model' will set you apart from your competition
- There are various levels of complexity of LLMs & You can adopt the one that best suits your needs and maturity
- A repo of narrow purpose fit LLMs are more useful to an organization as compared to a hunking large one for now
- Models are improving and it is getting cheaper to create them, so it is possible to have own foundational model in the near future
- LLMs in educational context can help promote plagiarism- but benefits far outweigh
- LLMs do pose the risk of automatic some routine tasks but the human is not going to be eliminated completely, at least not yet ...